

# NONCOMMUTATIVE POLYNOMIAL MAPS

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## Abstract

Polynomial maps attached to polynomials of an Ore extension are naturally defined. In this setting we show the importance of pseudo-linear transformations and give some applications. In particular, factorizations of polynomials in an Ore extension over a finite field  $\mathbb{F}_q[t; \theta]$ , where  $\theta$  is the Frobenius automorphism, are translated into factorizations in the usual polynomial ring  $\mathbb{F}_q[x]$ .

## INTRODUCTION

Polynomial maps associated to an element of an Ore extension  $K[t; \sigma, \delta]$  over a division ring  $K$  have been considered and studied in different papers such as [Co], [LL<sub>1</sub>], [LL<sub>2</sub>] [LL<sub>4</sub>], [LLO], [Or]. They have been used in different areas such as: the construction of factorizations of Wedderburn polynomials ([LO], [DL], [HR]), the criterion for diagonalisation of matrices over division rings ([LLO]), the solution of linear differential equations and applications to time-varying systems (e.g. [MB1], [MB2]), the constructions of new codes ([BU], [BGU]).

Pseudo-linear transformations are intimately related to modules over an Ore extension  $A[t; \sigma, \delta]$  (see Section 1). In the present paper, we intend to show that they are also useful tools for studying polynomial maps.

In Section 1 we introduce the main definitions and give some examples. The results presented here concern general Ore extensions over rings which are not necessarily division rings. The pseudo-linear transformations play a crucial role in this section. They enable us to explain some features of roots of polynomials through a bimodule structure of some cyclic modules (Cf. comments before Proposition 1.6 and Corollary 1.13) and they lead to a formula describing the polynomial map attached to a product of polynomials (Cf. Theorem 1.10(2)).

Section 2 is devoted to applications. We first recall the way of computing "the number of right roots" of an Ore polynomial with coefficients in a division ring and apply this to polynomials of the Ore extension  $\mathbb{F}_q[t; \theta]$  built over a finite field  $\mathbb{F}_q$  with the Frobenius automorphism  $\theta$ . We also attach to every element  $f(t) \in \mathbb{F}_q[t; \theta]$  a polynomial denoted  $f^\flat(x) \in \mathbb{F}_q[x]$  and use pseudo-linear transformations to study the strong relations between factors of  $f(t) \in \mathbb{F}_q[t; \theta]$  and factors of  $f^\flat(x) \in \mathbb{F}_q[x]$ . This makes Berlekamp algorithm available for factorizations of polynomials in  $\mathbb{F}_q[t; \theta]$ . As

another application, we give a form of Hilbert 90 theorem as well as a short and easy proof of a generalized version of the so-called "Frobenius law" for computing  $p$ -th powers of a sum in characteristic  $p > 0$ . The other applications are generalizations of standard results or give other perspectives on them.

## 1 Polynomial and pseudo-linear maps

Let  $A$  be a ring with 1,  $\sigma$  an endomorphism of  $A$  and  $\delta$  a  $\sigma$ -derivation of  $A$  (i.e.  $\delta \in \text{End}(A, +)$  and  $\delta(ab) = \sigma(a)\delta(b) + \delta(a)b$ , for  $a, b \in A$ ). The skew polynomial ring  $R := A[t; \sigma, \delta]$  (a.k.a. Ore extension) consists of elements of the form  $\sum_{i=0}^n a_i t^i$  where addition is performed as in the classical case and multiplication is based on the rule  $ta = \sigma(a)t + \delta(a)$ , for  $a \in A$ .

**Definitions 1.1.** Let  $A$  be a ring,  $\sigma$  an endomorphism of  $A$  and  $\delta$  a  $\sigma$ -derivation of  $A$ . Let also  $V$  stand for a left  $A$ -module.

- a) An additive map  $T : V \longrightarrow V$  such that, for  $\alpha \in A$  and  $v \in V$ ,

$$T(\alpha v) = \sigma(\alpha)T(v) + \delta(\alpha)v.$$

is called a  $(\sigma, \delta)$  pseudo-linear transformation (or a  $(\sigma, \delta)$ -PLT, for short).

- b) For  $f(t) \in R = A[t; \sigma, \delta]$  and  $a \in A$ , we define  $f(a)$  to be the only element in  $A$  such that  $f(t) - f(a) \in R(t - a)$ .

In case  $V$  is a finite dimensional vector space and  $\sigma$  is an automorphism, the pseudo-linear transformations were introduced in [Ja2]. They appear naturally in the context of modules over an Ore extension  $A[t; \sigma, \delta]$ . This is explained in the next proposition.

**Proposition 1.2.** *Let  $A$  be a ring  $\sigma \in \text{End}(A)$  and  $\delta$  a  $\sigma$ -derivation of  $A$ . For an additive group  $(V, +)$  the following conditions are equivalent:*

- (i)  $V$  is a left  $R = A[t; \sigma, \delta]$ -module;
- (ii)  $V$  is a left  $A$ -module and there exists a  $(\sigma, \delta)$  pseudo-linear transformation  $T : V \longrightarrow V$ ;
- (iii) There exists a ring homomorphism  $\Lambda : R \longrightarrow \text{End}(V, +)$ .

*Proof.* The proofs are straightforward, let us nevertheless mention that, for the implication (i)  $\Rightarrow$  (ii), the  $(\sigma, \delta)$ -PLT on  $V$  is given by the left multiplication by  $t$ .  $\square$

Let  $T$  be a  $(\sigma, \delta)$ -PLT defined on an  $A$ -module  $V$ . Using the above notations, we define, for  $f(t) = \sum_{i=0}^n a_i t^i \in R$ ,  $f(T) := \Lambda(f(t)) = \sum_{i=0}^n a_i T^i \in \text{End}(V, +)$ . We can now state the following corollary. It will be intensively used in the paper.

**Corollary 1.3.** *For any  $f, g \in R = A[t; \sigma, \delta]$  and any pseudo-linear transformation  $T$  we have:  $(fg)(T) = f(T)g(T)$ .*

**Examples 1.4.** (1) If  $\sigma = id.$  and  $\delta = 0$ , a pseudo-linear map is an endomorphism of left  $A$ -modules. If  $\delta = 0$ , a pseudo-linear map is usually called a  $(\sigma)$  semi-linear transformation.

- (2) Let  $V$  be a free left  $A$ -module with basis  $\beta = \{e_1, \dots, e_n\}$  and let  $T : V \longrightarrow V$  be a  $(\sigma, \delta)$ -PLT. This gives rise to a  $(\sigma, \delta)$ -PLT on the left  $A$ -module  $A^n$  as follows: first define  $C = (c_{ij}) \in M_n(A)$  by  $T(e_i) = \sum_j c_{ij} e_j$ . and extend component-wise  $\sigma$  and  $\delta$  to the left  $A$ -module  $A^n$ . We then define a  $(\sigma, \delta)$ -PLT on  $A^n$  by  $T_C(\underline{v}) = \sigma(\underline{v})C + \delta(\underline{v})$ , for  $\underline{v} \in A^n$ . In particular, for  $n = 1$  and  $a \in A$ , the map  $T_a : A \longrightarrow A$  given by  $T_a(x) = \sigma(x)a + \delta(x)$  is a  $(\sigma, \delta)$ -PLT. The map  $T_a$  will be called the  $(\sigma, \delta)$ -PLT induced by  $a \in A$ . Notice that  $T_0 = \delta$  and  $T_1 = \sigma + \delta$ .
- (3) It is well-known and easy to check that, extending  $\sigma$  and  $\delta$  from a ring  $A$  to  $M_n(A)$  component-wise, gives an endomorphism, still denoted  $\sigma$ , and a  $\sigma$ -derivation also denoted  $\delta$  on the ring  $M_n(A)$ . For  $n, l \in \mathbb{N}$  we may also extend component-wise  $\sigma$  and  $\delta$  to the additive group  $V := M_{n \times l}(A)$ . Let us denote these maps by  $S$  and  $D$  respectively. Then  $S$  is a  $\sigma$  semi-linear map and  $D$  is a  $(\sigma, \delta)$ -PLT of the left  $M_n(A)$ -module  $V$ . This generalizes the fact, mentioned in example (2) above, that  $\delta$  itself is a pseudo-linear transformation on  $A$ .
- (4) Let  ${}_A V_B$  be an  $(A, B)$ -bimodule and suppose that  $\sigma$  and  $\delta$  are an endomorphism and a  $\sigma$ -derivation on  $A$ , respectively. If  $S$  is a  $\sigma$  semi-linear map and  $T$  is a  $(\sigma, \delta)$  PLT on  ${}_A V$ , then for any  $b \in B$ , the map  $T_b$  defined by  $T_b(v) = S(v)b + T(v)$ , for  $v \in V$ , is a  $(\sigma, \delta)$  pseudo-linear map on  $V$ .
- (5) Using both Examples (3) and (4) above, we obtain a  $(\sigma, \delta)$  pseudo-linear transformation on the set of rectangular matrices  $V := M_{n \times l}(A)$  (considered as an  $(M_n(A), M_l(A))$ -bimodule) by choosing a square matrix  $b \in M_l(A)$  and putting  $T_b(v) = S(v)b + D(v)$  where  $S$  and  $D$  are defined component-wise as in Example (3) and  $v \in V$ . This construction will be used in Proposition 1.6.

**Remarks 1.5.** (1) Let us mention that the composition of pseudo-linear transformations is usually not a pseudo-linear transformation. Indeed, let  $T : V \longrightarrow V$  be a  $(\sigma, \delta)$ -PLT. For  $a \in A$ ,  $v \in V$  and  $n \geq 0$ , we have  $T^n(av) = \sum_{i=0}^n f_i^n(a) T^i(v)$ , where  $f_i^n$  is the sum of all words in  $\sigma$  and  $\delta$  with  $i$  letters  $\sigma$  and  $n - i$  letters  $\delta$ .

- (2) Let us now indicate explicitly the link between polynomial maps and pseudo-linear transformations. Since, for  $a \in A$ , the pseudo-linear transformation on  $A$  associated to the left  $R$ -module  $V = R/R(t - a)$  is  $T_a$  (Cf. Example 1.4(2)). The equality  $f(t).1_V = f(a) + R(t - a)$  leads to

$$f(T_a)(1) = f(a).$$

For a left  $R$ -module  $V$ , we consider the standard  $(R, \text{End}_R V)$ -bimodule structure of  $V$ . In the proof of Proposition 1.2 we noticed that  $T$  corresponds to the left multiplication by  $t$  on  $V$ . This implies that, for any  $f(t) \in R$ ,  $f(T)$  is a right  $\text{End}_R(V)$ -linear map defined on  $V$ . In particular,  $\ker f(T)$  is a right  $\text{End}_R(V)$  submodule of  $V$ . Considering  $V = R/R(t - a)$  for  $a \in A$ , this module structure on  $\ker(f(T_a))$  explains and generalizes

some important properties of roots of polynomials obtained earlier (Cf. [LL<sub>1</sub>], [LL<sub>2</sub>], [LLO]), see Corollary 1.13 for more details). Let us describe the elements of  $\text{End}_R(V)$  in case  $V$  is a free left  $A$ -module. We extend the maps  $\sigma$  and  $\delta$  to matrices over  $A$  by letting them act on every entry.

**Proposition 1.6.** *For  $i = 1, 2$ , let  $T_i$  be a  $(\sigma, \delta)$ -PLT defined on a free  $A$ -module  $V_i$  with basis  $\beta_i$  and dimension  $n_i$ . Suppose  $\varphi \in \text{Hom}_A(V_1, V_2)$  is an  $A$ -module homomorphism. Let also  $B \in M_{n_1 \times n_2}(A)$ ,  $C_1 \in M_{n_1 \times n_1}(A)$  and  $C_2 \in M_{n_2 \times n_2}(A)$  denote matrices representing  $\varphi$ ,  $T_1$  and  $T_2$  respectively in the appropriate bases  $\beta_1$  and  $\beta_2$ . Let  ${}_R V_1$  and  ${}_R V_2$  be the left  $R$ -module structures induced by  $T_1$  and  $T_2$ , respectively. The following conditions are equivalent:*

- (i)  $\varphi \in \text{Hom}_R(V_1, V_2)$ ;
- (ii)  $\varphi T_1 = T_2 \varphi$ ;
- (iii)  $C_1 B = \sigma(B) C_2 + \delta(B)$ ;
- (iv)  $B \in \ker(T_{C_2} - L_{C_1})$  where  $T_{C_2}$  (resp.  $L_{C_1}$ ) stands for the pseudo-linear transformation (resp. the left multiplication) induced by  $C_2$  (resp.  $C_1$ ) on  $M_{n_1 \times n_2}(A)$  considered as a left  $M_{n_1}(A)$ -module.

*Proof.* (i)  $\Leftrightarrow$  (ii). This is clear since, for  $i = 1, 2$ ,  $T_i$  corresponds to the left action of  $t$  on  $V_i$ .

(ii)  $\Leftrightarrow$  (iii). Let us put  $\beta_1 := \{e_1, \dots, e_{n_1}\}$ ,  $\beta_2 := \{f_1, \dots, f_{n_2}\}$ ,  $C_1 = (c_{ij}^{(1)})$ ,  $C_2 = (c_{ij}^{(2)})$  and  $B = (b_{ij})$ . We then have, for any  $1 \leq i \leq n_1$ ,  $T_2(\varphi(e_i)) = T_2(\sum_j b_{ij} f_j) = \sum_j (\sigma(b_{ij}) T_2(f_j) + \delta(b_{ij}) f_j) = \sum_k (\sum_j \sigma(b_{ij}) c_{jk}^{(2)} + \delta(b_{ik})) f_k$ . Hence the matrix associated to  $T_2 \varphi$  in the bases  $\beta_1$  and  $\beta_2$  is  $\sigma(B) C_2 + \delta(B)$ . This yields the result.

(iii)  $\Leftrightarrow$  (iv). It is enough to remark that the definition of  $T_{C_2}$  acting on  $M_{n_1 \times n_2}(A)$  shows that, for any  $B \in M_n(A)$ ,  $(T_{C_2} - L_{C_1})(B) = \sigma(B) C_2 + \delta(B) - C_1 B$ .  $\square$

**Remark 1.7.** The above proposition 1.6 shows that the equality (iii) is independent of the bases. Hence, if  $P_1 \in M_{n_1}(A)$  and  $P_2 \in M_{n_2}(A)$  are invertible matrices associated to change of bases in  $V_1$  and  $V_2$  then  $C'_1 B' = \sigma(B') C'_2 + \delta(B')$  for  $B' := P_1 B P_2^{-1}$ ,  $C'_1 := \sigma(P_1) C_1 P_1^{-1} + \delta(P_1) P_1^{-1}$  and  $C'_2 := \sigma(P_2) C_2 P_2^{-1} + \delta(P_2) P_2^{-1}$ . Of course, this can also be checked directly.

Let  $p(t) = \sum_{i=0}^n a_i t^i$  be a monic polynomial of degree  $n$  and consider the left  $R = A[t; \sigma, \delta]$  module  $V := R/Rp$ . It is a free left  $A$ -module with basis  $\beta := \{\bar{1}, \bar{t}, \dots, \bar{t}^{n-1}\}$ , where  $\bar{t}^i = t^i + Rp$  for  $i = 1, \dots, n-1$ . In the basis  $\beta$ , the matrix corresponding to left multiplication by  $t$  is the usual companion matrix of  $p$  denoted by  $C(p)$  and defined by

$$C(p) = \begin{pmatrix} 0 & 1 & 0 & \cdots & 0 \\ 0 & 0 & 1 & \cdots & 0 \\ \vdots & \vdots & \vdots & \ddots & \vdots \\ 0 & 0 & 0 & \cdots & 1 \\ -a_0 & -a_1 & -a_2 & \cdots & -a_{n-1} \end{pmatrix}$$

**Corollary 1.8.** *Let  $p_1, p_2 \in R = A[t; \sigma, \delta]$  be two monic polynomials of degree  $n \geq 1$  with companion matrices  $C_1, C_2 \in M_n(A)$ .  $R/Rp_1 \cong R/Rp_2$  if and only if there exists an invertible matrix  $B$  such that  $C_1B = \sigma(B)C_2 + \delta(B)$ .*

The pseudo-linear transformation induced on  $A^n$  by  $C(p)$  will be denoted  $T_p$ .

Recall that  $Rp$  is a two sided ideal in its idealizer ring  $Idl(Rp) = \{g \in R \mid pg \in Rp\}$ . The quotient ring  $\frac{Idl(Rp)}{Rp}$  is called the eigenring of  $Rp$  and is isomorphic to  $End_R(R/Rp)$ . The  $(R, End_R(R/Rp))$ -bimodule structure of  $R/Rp$  gives rise to a natural  $(R, End_R(R/Rp))$ -bimodule structure on  $A^n$ . For future reference we sum up some information in the form of a corollary.

**Corollary 1.9.** *Let  $p(t) \in R$  be a monic polynomial of degree  $n$  and denote by  $C = C(p)$  its companion matrix. We have:*

- (a) *The eigenring  $End_R(R/Rp)$  is isomorphic to  $C_p^{\sigma, \delta} := \{B \in M_n(A) \mid CB = \sigma(B)C + \delta(B)\}$ .*
- (b)  *$A^n$  has an  $(R, C_p^{\sigma, \delta})$ -module structure.*
- (c) *For  $f(t) \in R$ ,  $f(T_p)$  is a right  $C_p^{\sigma, \delta}$ -morphism. In particular,  $\ker f(T_p)$  is a right  $C_p^{\sigma, \delta}$ -submodule of  $A^n$ .*

We need to fix some notations. Thinking of the evaluation  $f(a)$  of a polynomial  $f(t) \in R = A[t; \sigma, \delta]$  at  $a \in A$  as an element of  $A$  representing  $f(t)$  in  $R/R(t-a)$ , we introduce the following notation: for a polynomial  $f(t) \in R$  and a monic polynomial  $p(t) \in R$  of degree  $n$ ,  $f(p)$  stands for the unique element in  $R$  of degree  $< \deg(p) = n$  representing  $f(t)$  in  $R/Rp(t)$ . Since divisions on the right by the monic polynomial  $p$  can be performed in  $R$ ,  $f(p)$  is the remainder of the right division of  $f(t)$  by  $p(t)$ . We write  $\overline{f(p)}$  for the image of  $f(p)$  in  $R/Rp$ . For  $v \in V = R/Rp$ , we denote by  $v_\beta \in A^n$  the row of coordinates of  $v$  in the basis  $\beta := \{\overline{1}, \overline{t}, \dots, \overline{t^{n-1}}\}$ . Using the above notations we can state the following theorem.

**Theorem 1.10.** *Let  $p(t) \in R = A[t; \sigma, \delta]$  be a monic polynomial of degree  $n \geq 1$ . Then:*

- (1) *For  $f(t) \in R$  we have:  $\overline{f(p)}_\beta = f(T_p)(1, 0, \dots, 0)$ .*
- (2) *For  $f(t), g(t) \in R$ , we have:  $\overline{(fg)(p)}_\beta = f(T_p)\overline{(g(p))}_\beta$ .*
- (3) *For  $f(t) \in R$  there exist bijections between the following sets  $\ker f(T_p)$ ,  $\{g \in R \mid \deg(g) < n \text{ and } fg \in Rp\}$  and  $Hom_R(R/Rf, R/Rp)$ .*
- (4)  *$Idl(Rp) = \{g \in R \mid g(T_p)(1, 0, \dots, 0) \in \ker p(T_p)\}$ .*

*Proof.* (1) The definition of  $f(p)$  implies that there exists  $q(t) \in A[t; \sigma, \delta]$  such that  $f(t) = q(t)p(t) + f(p)$ . This leads to  $f(T_p) = q(T_p)p(T_p) + f(p)(T_p)$ . Since  $T_p = T_{c(p)}$  we easily get  $p(T_p)(1, \dots, 0) = (0, \dots, 0)$ . Noting that  $\deg(f(p)) < n$ , we also have  $f(p)(T_p)(1, 0, \dots, 0) = \overline{f(p)}_\beta$ . This leads to the required equality.

(2) The point (1) above and corollary 1.3 give  $\overline{(fg)(p)}_\beta = (fg)(T_p)(1, 0, \dots, 0) = f(T_p)(g(T_p)(1, 0, \dots, 0)) = f(T_p)\overline{(g(p))}_\beta$ .

(3) The map  $\psi : \ker f(T_p) \rightarrow R$  defined by  $\psi((v_0, \dots, v_{n-1})) = \sum_{i=0}^{n-1} v_i t^i$  is injective and, using (2) above with  $g(t) := \sum_{i=0}^{n-1} v_i t^i$ , we obtain  $0 = f(T_p)(v_0, \dots, v_{n-1}) = f(T_p)(\overline{g(p)})_\beta = \overline{fg(p)}_\beta$ . This means that  $fg \in Rp$ . The map  $\psi$  is the required first bijection in statement (3).

Now, if  $g \in R$  is such that  $\deg(g) < n$  and  $fg \in Rp$  then the map  $\varphi_g : R/Rf \rightarrow R/Rp$  defined by  $\varphi_g(h + Rf) = hg + Rp$  is an element of  $\text{Hom}_R(R/Rf, R/Rp)$ . The map  $\gamma : \{g \in R \mid \deg(g) < n, fg \in Rp\} \rightarrow \text{Hom}_R(R/Rf, R/Rp)$  defined by  $\gamma(g) = \varphi_g$  is easily seen to be bijective.

(4) Let us remark that  $g \in R$  is such that  $pg \in Rp$  iff  $\overline{(pg)(p)}_\beta = 0$  iff  $p(T_p)(\overline{g(p)})_\beta = 0$ . The first statement (1) above gives the required conclusion.  $\square$

The next corollary requires a small lemma which is interesting by itself. For a free left  $A$ -module  $V$  with basis  $\beta = \{e_1, \dots, e_n\}$  and  $\varphi \in \text{End}(V, +)$  we write  $\varphi(e_i) = \sum_j \varphi_{ij} e_j$  and denote by  $\varphi_\beta \in M_n(A)$  the matrix defined by  $\varphi_\beta = (\varphi_{ij})$ .

**Lemma 1.11.** *Let  $T$  be a pseudo-linear transformation defined on a free left  $A$ -module  $V$  with basis  $\beta = \{e_1, \dots, e_n\}$  and  $f(t) \in R = A[t; \sigma, \delta]$ . Considering  $f(t)$  as an element of  $M_n(A)[t; \sigma, \delta]$ , we have  $f(T)_\beta = f(T_\beta)$ .*

*Proof.* (Cf. [L] Lemma 3.3).  $\square$

The following corollary is an easy generalization of the classical fact that the companion matrix,  $C := C(p) \in M_n(A)$ , of a monic polynomial  $p$  of degree  $n$  annihilates the polynomial itself. As earlier, we extend  $\sigma$  and  $\delta$  to  $M_n(A)$  component-wise.

**Corollary 1.12.** *Let  $p(t) \in R = A[t; \sigma, \delta] \subset M_n(A)[t; \sigma, \delta]$  be a monic polynomial of degree  $n > 1$ . Then the following assertions are equivalent:*

- (i)  $t \in \text{Idl}(Rp)$ ;
- (ii) for any  $f \in R$ ,  $f \in Rp$  if and only if  $f(C(p)) = 0$ ;
- (iii)  $p(C(p)) = 0$ .

*Proof.* (i)  $\Rightarrow$  (ii) Since  $t \in \text{Idl}(Rp)$ ,  $f \in Rp$  implies  $ft^i \in Rpt^i \subset Rp$ , for any  $0 \leq i \leq n-1$ . Theorem 1.10(4) then gives  $((f(t)t^i)(T_p)(1, 0, \dots, 0) = (0, \dots, 0)$ . Hence,  $f(T_p)(T_p^i(1, 0, \dots, 0)) = (0, \dots, 0)$ , for  $i \in \{0, \dots, n-1\}$ . This leads to  $f((T_p)_\beta) = 0$ , where  $\beta$  is the standard basis of  $A^n$ . The above lemma 1 shows that  $0 = f((T_p)_\beta) = f(C)$ , where  $f(C)$  stands for the evaluation of  $f(t) \in M_n(A)[t; \sigma, \delta]$  at  $C$ .

(ii)  $\Rightarrow$  (iii) This is clear.

(iii)  $\Rightarrow$  (i) We have  $0 = p(C(p)) = p(T_p)_\beta = p((T_p)_\beta) = p(T_p)_\beta$ . Since  $n > 1$ , we have, in particular,  $(pt)(T_p)(e_1) = p(T_p)((T_p)(e_1)) = p(T_p)(e_2) = 0$ . Theorem 1.10(4) implies that  $pt \in Rp$ , as required.  $\square$

Let us sum up all the information that we have gathered in the special case where  $V = R/R(t-a)$ . When, moreover,  $A = K$  is a division ring, these results were proved in earlier papers (Cf. [LL<sub>1</sub>], [LL<sub>2</sub>], [LLO]) using different, more computational proofs.  $U(A)$  stands for the set of invertible elements of  $A$ . For  $x \in U(A)$ , we denote by  $a^x$  the element  $\sigma(x)ax^{-1} + \delta(x)x^{-1}$  and  $\Delta^{\sigma, \delta}(a) := \{a^x \mid x \in U(A)\}$ .



**Corollary 1.13.** *Suppose  $a \in A$  and  $f, g \in R = A[t; \sigma, \delta]$ . Let  $V$  stand for the  $R$ -module  $R/R(t - a)$ . Then:*

- (a) *The map  $\Lambda_a : R \longrightarrow \text{End}(V, +)$  defined by  $\Lambda_a(f) = f(T_a)$  is a ring homomorphism. For  $f, g \in R$ , we have  $(fg)(a) = f(T_a)(g(a))$ .*
- (b) *Suppose  $g(a)$  is invertible, then:  $(fg)(a) = f(a^{g(a)})g(a)$ . In particular, for an invertible element  $x \in A$  we have:  $f(T_a)(x) = f(a^x)x$ .*
- (c) *The set  $C^{\sigma, \delta}(a) := \{b \in A \mid ab = \sigma(b)a + \delta(b)\}$  is a ring isomorphic to  $\text{End}_R V$ .*
- (d) *If  $A$  is a division ring, then so is  $C^{\sigma, \delta}(a)$ . In this case, for any  $f(t) \in R$  and any  $a \in A$ ,  $\ker(f(T_a)) = \{x \in A \setminus \{0\} \mid f(a^x) = 0\} \cup \{0\}$  is a right  $C^{\sigma, \delta}(a)$ -vector space.*

*Proof.* (a) This is a special case of Corollary 1.3 and Theorem 1.10(2).

(b) It is easy to check that, for  $x \in U(A)$ ,  $(t - a^x)x = \sigma(x)(t - a)$ . This leads to  $f(t)x - f(a^x)x = (f(t) - f(a^x))x \in R(t - a^x)x \subseteq R(t - a)$ . Hence, using (a) above with  $g(t) = x$ , we have  $f(a^x)x = (f(t)x)(a) = f(T_a)(x)$ . The other equality is now easy to check.

(c) This comes directly from Proposition 1.6.

(d) If  $A$  is a division ring,  $R(t - a)$  is a maximal left ideal of  $R$  and Schur's lemma shows that  $\text{End}_R(R/R(t - a))$  is a division ring. The other statements are clear from our earlier results.  $\square$

**Remark 1.14.** In a division ring  $K$ , a  $(\sigma, \delta)$ -conjugacy class  $\Delta^{\sigma, \delta}(a)$  can be seen as a projective space associated to  $K$  considered as a right  $C^{\sigma, \delta}(a)$ -vector space. With this point of view, for  $f(t) \in R = K[t; \sigma, \delta]$  without roots in  $\Delta^{\sigma, \delta}(a)$ , the projective map associated to the right  $C^{\sigma, \delta}(a)$ -linear map  $f(T_a)$  is the map  $\phi_f$  defined by  $\phi_f(a^x) = (a^x)^{f(a^x)} = a^{f(T_a(x))}$ . This map  $\phi_f$  is useful for detecting pseudo-roots of a polynomial (i.e. elements  $a \in K$  such that  $t - a$  divides  $gf \in R$  but  $f(a) \neq 0$ ). This point of view sheds some lights on earlier results on  $\phi$ -transform (Cf. [LL<sub>3</sub>]).

**Examples 1.15.** 1. If  $b - a \in A$  is invertible, it is easy to check that the polynomial  $f(t) := (t - b^{b^{-a}})(t - a) \in R = A[t; \sigma, \delta]$  is a monic polynomial right divisible by  $t - a$  and  $t - b$ .  $f(t)$  is thus the least left common multiple (abbreviated LLCM in the sequel) of  $t - a$  and  $t - b$  in  $R = A[t; \sigma, \delta]$ . Pursuing this theme further leads, in particular, to noncommutative symmetric functions (Cf. [DL]).

2. Similarly one easily checks that, if  $f(a)$  is invertible then the LLCM of  $f(t)$  and  $t - a$  in  $R = A[t; \sigma, \delta]$  is given by  $(t - a^{f(a)})f(t)$ .

3. It is now easy to construct polynomials that factor completely in linear terms but have only one (right) root. Let  $K$  be a division ring and  $a \in K$  be an element algebraic of degree two over the center  $C$  of  $K$ . We denote by  $f_a(t) \in C[t]$  the minimal polynomial of  $a$ .  $f_a(t)$  is also the minimal polynomial of the algebraic conjugacy class  $\Delta(a) := \{xax^{-1} \mid x \in K \setminus \{0\}\}$ . For  $\gamma \in \Delta(a)$ , we note  $\bar{\gamma}$  the unique element of  $K$  such that  $f_a(t) = (t - \bar{\gamma})(t - \gamma)$ . Let us remark that if  $\gamma \neq a$

then  $\overline{\gamma} = a^{a-\gamma}$ . Using an induction on  $m$ , the reader can easily prove that if a polynomial  $g(t)$  is such that  $g(t) := (t - a_m)(t - a_{m-1}) \dots (t - a_1)$  where  $a_i \in \Delta(a)$  but  $a_{i+1} \neq \overline{a_i}$ , for  $i = 1, \dots, m-1$  then  $a_1$  is the unique root of  $g(t)$ . For a concrete example consider  $\mathbb{H}$ , the division ring of quaternions over  $\mathbb{Q}$ . In this case, for  $a \in \mathbb{H}$ ,  $\overline{a}$  is the usual conjugate of  $a$ . Of course, one can generalize this example to a  $(\sigma, \delta)$ -setting by considering an algebraic conjugacy class of rank 2.

4. Let us describe all the irreducible polynomials of  $R := \mathbb{C}[t; -]$ . First notice that the left (and right) Ore quotient ring  $\mathbb{C}(t; -)$  of  $R$  is a division ring of dimension 4 over its center  $\mathbb{R}(t^2)$ . This implies that any  $f(t) \in \mathbb{C}[t; -] \setminus \mathbb{R}[t^2]$  satisfies an equation of the form:  $f(t)^2 + a_1(t^2)f(t) + a_0(t^2) = 0$  for some  $a_1(t^2), a_0(t^2) \in \mathbb{R}(t^2)$  with  $a_0(t^2) \neq 0$ . This shows that for any polynomial  $f(t) \in \mathbb{C}[t; -] \setminus \mathbb{R}[t]$  there exists  $g(t) \in \mathbb{C}[t; -]$  such that  $g(t)f(t) \in \mathbb{R}[t^2] \subset \mathbb{R}[t] \subset \mathbb{C}[t; -]$ . In particular, the irreducible factors of  $g(t)f(t)$  in  $\mathbb{C}[t; -]$  are of degree  $\leq 2$ . We can now conclude that the monic irreducible non linear polynomials of  $\mathbb{C}[t; -]$  are the polynomials of the form  $t^2 + at + b$  with no (right) roots. In other words the monic irreducible non linear polynomials of  $\mathbb{C}[t; -]$  are of the form  $t^2 + at + b$  such that for any  $c \in \mathbb{C}$ ,  $c\overline{c} + ac + b \neq 0$ .

We now collect a few more observations.

**Proposition 1.16.** *Let  $f, g \in R = A[t; \sigma, \delta]$  be polynomials such that  $g$  is not a zero divisor and  $Rf + Rg = R$ . Suppose that there exists  $m \in R$  with  $Rm = Rf \cap Rg$ . Let  $f', g' \in R$  be such that  $m = f'g = g'f$ . Let also  $T$  be any pseudo-linear transformation. We have:*

- a)  $R/Rf' \cong R/Rf$ .
- b)  $g(T)(\ker f(T)) = \ker f'(T)$ .
- c)  $\ker(m(T)) = \ker f(T) \oplus \ker g(T)$ .

*Proof.* a) The morphism  $\varphi : R/Rf' \rightarrow R/Rf$  of left  $R$ -modules defined by  $\varphi(1 + Rf') = g + Rf$  is in fact an isomorphism.

b) Since  $f'g = g'f$ , we have  $(f'g)(T)(\ker f(T)) = 0$ . Hence  $g(T)(\ker f(T)) \subseteq \ker f'(T)$ . Let  $\varphi$  be the map defined in the proof of a) above and let  $h \in R$  be such that  $\varphi^{-1}(1 + Rf) = h + Rf'$ . Since  $\varphi^{-1}$  is well defined, we have  $fh \in Rf'$  and  $h(T)(\ker f'(T)) \subseteq \ker f(T)$ . We also have  $gh - 1 \in Rf'$  and so  $(gh)(T)|_{\ker f'(T)} = id|_{\ker f'(T)}$ . This gives  $\ker f'(T) = gh(T)(\ker f'(T)) \subseteq g(T)(\ker f(T)) \subseteq \ker f'(T)$ . This yields the desired conclusion.

c) Obviously  $\ker g(T) + \ker f(T) \subseteq \ker(m(T))$ . Now let  $v \in \ker m(T)$ . Then  $f'g(T)(v) = 0 = g'f(T)(v)$ . This gives  $g(T)(v) \in \ker f'(T)$  and so, using the equality b) above, we have  $g(T)(v) \in g(T)(\ker f(T))$ . This shows that there exists  $w \in \ker f(T)$  such that  $g(T)(v) = g(T)(w)$ . We conclude  $v - w \in \ker g(T)$  and  $v \in \ker g(T) + \ker f(T)$ . The fact that the sum is direct is clear from the equality  $R = Rf + Rg$ .  $\square$

As an application of the preceding proposition, we have a relation between the roots of two similar polynomials with coefficients in a division ring. For  $f \in K[t; \sigma, \delta]$ , where



$K$  is a division ring, we denote by  $V(f)$  the set of right roots of  $f$ . For  $x \notin V(f)$  we put  $\phi_f(x) := x^{f(x)} := \sigma(f(x))xf(x)^{-1} + \delta(f(x))f(x)^{-1}$ . With these notations we have the following corollary of the previous proposition:

**Corollary 1.17.** *Let  $f, f' \in K[t; \sigma, \delta]$  be such that  $\varphi : R/Rf' \rightarrow R/Rf$  is an isomorphism defined by  $\varphi(1 + Rf') = g + Rf$ . Then  $V(f') = \phi_g(V(f))$ .*

*Proof.* Since  $Rf + Rg = R$ ,  $g(x) \neq 0$  for any  $x \in V(f)$  and we have:  $f'(\phi_g(x))g(x) = (f'g)(x) = (g'f)(x) = 0$ . This shows that  $\phi_g(V(f)) \subseteq V(f')$ . For the reverse inclusion let us remark that  $y \in V(f')$  implies that  $1 \in \ker f'(T_y)$  the assertion b) in the above proposition 1.16 shows that there exists  $z \in \ker f(T_y)$  such that  $1 = g(T_y)(z) = g(y^z)z$ . An easy computation then gives that  $y = \phi_g(y^z)$ . Since  $f(T_y)(z) = 0$  implies  $f(y^z) = 0$ , we conclude that  $V(f') \subseteq \phi_g(V(f))$ , as required.  $\square$

## 2 Applications

Statement 1 of the following theorem is more general and more precise than the classical Gordon-Motzkin result (which is statement 1 of Theorem 2.1 with  $(\sigma, \delta) = (id., 0)$ ). This was already mentioned in [LLO] but we will state it in the language of the maps  $T_a$  introduced in Section 1. For an element  $a$  in a division ring  $K$ , we define  $C^{\sigma, \delta}(a) := \{0 \neq x \in K \mid a^x = a\} \cup \{0\}$  (Cf. Section 1) and  $\Delta^{\sigma, \delta}(a) := \{x \in K \setminus \{0\} \mid \sigma(x)a + \delta(x) = ax\}$ .  $C^{\sigma, \delta}(a)$  is a subdivision ring of  $K$  and for any  $f(t) \in R = K[t; \sigma, \delta]$ ,  $f(T_a)$  is a right  $C^{\sigma, \delta}(a)$ -linear map (Cf. Corollary 1.13(d)). The set  $\Delta(a) = \Delta^{\sigma, \delta}(a)$  is the  $(\sigma, \delta)$ -conjugacy class determined by  $a$ .

**Theorem 2.1.** *Let  $f(t) \in R = K[t; \sigma, \delta]$  be a polynomial of degree  $n$ . Then:*

- 1)  $f(t)$  has roots in at most  $n$   $(\sigma, \delta)$ -conjugacy classes, say  $\{\Delta(a_1), \dots, \Delta(a_r)\}$ ,  $r \leq n$ ;
- 2)  $\sum_{i=1}^r \dim_{C(a_i)} \ker(f(T_{a_i})) \leq n$ , where  $C(a_i) := C^{\sigma, \delta}(a_i)$  for  $1 \leq i \leq r$ .

*Proof.* We refer the reader to [LLO] and [LO].  $\square$

**Remark 2.2.** In [LLO] it is shown that equality in formula 2) holds if and only if the polynomial  $f(t)$  is Wedderburn.

We now offer an application of the previous Theorem 2.1.

In coding theory some authors have used Ore extensions to define noncommutative codes (Cf. [BU], [BGU]). In particular, letting  $\mathbb{F}_q$  be the finite field of characteristic  $p$  with  $q = p^n$  elements, they considered the Ore extension of the form  $\mathbb{F}_q[t; \theta]$ , where  $\theta$  is the usual Frobenius automorphism given by  $\theta(x) = x^p$ . The following theorem shows that the analogue of the usual minimal polynomial  $X^q - X \in \mathbb{F}_q[X]$  annihilating  $\mathbb{F}_q$  is of much lower degree in this noncommutative setting.

**Theorem 2.3.** *Let  $p$  be a prime number and  $\mathbb{F}_q$  be the finite field with  $q = p^n$  elements. Denote by  $\theta$  the Frobenius automorphism. Then:*

- a) *There are  $p$  distinct  $\theta$ -conjugacy classes in  $\mathbb{F}_q$ .*

b)  $C^\theta(0) = \mathbb{F}_q$  and, for  $0 \neq a \in \mathbb{F}_q$ , we have  $C^\theta(a) = \mathbb{F}_p$ .

c) In  $\mathbb{F}_q[t; \theta]$ , the least left common multiple of all the elements of the form  $t - a$  for  $a \in \mathbb{F}_q$  is the polynomial  $G(t) := t^{(p-1)n+1} - t$ . In other words,  $G(t) \in \mathbb{F}_q[t; \theta]$  is of minimal degree such that  $G(a) = 0$  for all  $a \in \mathbb{F}_q$ .

d) The polynomial  $G(t)$  obtained in c) above is invariant, i.e.  $RG(t) = G(t)R$ .

*Proof.* a) Let us denote by  $g$  a generator of the cyclic group  $\mathbb{F}_q^* := \mathbb{F}_q \setminus \{0\}$ . The  $\theta$ -conjugacy class determined by the zero element is reduced to  $\{0\}$  i.e.  $\Delta(0) = \{0\}$ . The  $\theta$ -conjugacy class determined by 1 is a subgroup of  $\mathbb{F}_q^*$ :  $\Delta(1) = \{\theta(x)x^{-1} \mid 0 \neq x \in \mathbb{F}_q\} = \{x^{p-1} \mid 0 \neq x \in \mathbb{F}_q\}$ . It is easy to check that  $\Delta(1)$  is cyclic generated by  $g^{p-1}$  and has order  $\frac{p^n-1}{p-1}$ . Its index is  $(\mathbb{F}_q^* : \Delta(1)) = p-1$ . Since two nonzero elements  $a, b$  are  $\theta$ -conjugate if and only if  $ab^{-1} \in \Delta(1)$ , we indeed get that the number of different nonzero  $\theta$ -conjugacy classes is  $p-1$ . This yields the result.

b) If  $a \in \mathbb{F}_q$  is nonzero, then  $C^\theta(a) = \{x \in \mathbb{F}_q \mid \theta(x)a = ax\}$  i.e.  $C^\theta(a) = \mathbb{F}_p$ .

c) We have, for any  $x \in \mathbb{F}_q$ ,  $(t^{(p-1)n+1} - t)(x) = \theta^{(p-1)n}(x) \dots \theta(x)x - x$ . Since  $\theta^n = id$ . and  $N_n(x) := \theta^{n-1}(x) \dots \theta(x)x \in \mathbb{F}_p$ , we get  $(t^{(p-1)n+1} - t)(x) = x(\theta^{n-1}(x) \dots \theta(x)x)^{p-1} - x = xN_n(x)^{p-1} - x = 0$ . This shows that indeed  $G(t)$  annihilates all the elements of  $\mathbb{F}_q$  and hence  $G(t)$  is a left common multiple of the linear polynomials  $\{(t - a) \mid a \in \mathbb{F}_q\}$ . Let  $h(t) := [t - a \mid a \in \mathbb{F}_q]_l$  denote their least left common multiple. It remains to show that  $\deg h(t) \geq n(p-1) + 1$ . Let  $0 = a_0, a_1, \dots, a_{p-1}$  be elements representing the  $\theta$ -conjugacy classes (Cf. a) above). Denote by  $C_0, C_1, \dots, C_{p-1}$  their respective  $\theta$ -centralizer. Corollary 1.13(b) shows that  $h(T_a)(x) = h(a^x)x = 0$  for any nonzero element  $x \in \mathbb{F}_q$  and any element  $a \in \{a_0, \dots, a_{p-1}\}$ . Hence  $\ker h(T_{a_i}) = \mathbb{F}_q$  for  $0 \leq i \leq p-1$ . Using the inequality 2) in Theorem 2.1 and the statement b) above, we get  $\deg h(t) \geq \sum_{i=0}^{p-1} \dim_{C_i} \ker h(T_{a_i}) = \dim_{\mathbb{F}_q} \mathbb{F}_q + \sum_{i=1}^{p-1} \dim_{\mathbb{F}_p} \mathbb{F}_q = 1 + (p-1)n$ , as required.

d) Since  $\theta^n = id$ ., we have immediately that  $G(t)x = \theta(x)G(t)$  and obviously  $G(t)t = tG(t)$ .  $\square$

**Remark 2.4.** The polynomial  $G(t) = t^{n(p-1)+1} - t \in \mathbb{F}_{p^n}[t; \theta]$  defined in the previous theorem 2.3 can have roots in an extension  $\mathbb{F}_{p^l} \supsetneq \mathbb{F}_{p^n}$ . This is indeed always the case if  $l = n(p-1)$ . Let us denote by  $\Delta_l(1) := \{1^x \mid 0 \neq x \in \mathbb{F}_{p^l}\}$  and  $\Delta_n(1) := \{1^x \mid 0 \neq x \in \mathbb{F}_{p^n}\}$ . Since  $\theta^l = id$ . on  $\mathbb{F}_{p^l}$ , we have  $G(t)a = \theta(a)G(t)$  for any  $a \in \mathbb{F}_{p^l}$ . This gives, for any  $0 \neq x \in \mathbb{F}_{p^l}$   $G(1^x)x = (G(t)x)(1) = (\theta(x)G(t))(1) = \theta(x)G(1) = 0$ . In other words  $G(t)$  annihilates the  $\theta$ -conjugacy class  $\Delta_l(1) \subseteq \mathbb{F}_{p^l}$ . It is easy to check that  $|\Delta_l(1)| = \frac{p^l-1}{p-1} > \frac{p^n-1}{p-1} = |\Delta_n(1)|$ . We conclude that  $G(t)$  has roots in  $\mathbb{F}_{p^l} \setminus \mathbb{F}_{p^n}$ . This contrasts with the classical case where  $[x - a \mid a \in \mathbb{F}_{p^n}]_l = x^{p^n} - x \in \mathbb{F}_{p^n}[x]$  has all its roots in  $\mathbb{F}_{p^n}$ .

For a prime  $p$  and an integer  $i \geq 1$ , we define  $[i] := \frac{p^i-1}{p-1} = p^{i-1} + p^{i-2} + \dots + 1$  and put  $[0] = 0$ . We fix an integer  $n \geq 1$  and continue to denote  $q = p^n$ . Let us introduce the following subset of  $\mathbb{F}_q[x]$ :

$$\mathbb{F}_q[x^{\square}] := \left\{ \sum_{i \geq 0} \alpha_i x^{[i]} \in \mathbb{F}_q[x] \right\}$$

A polynomial belonging to this set will be called a  $[p]$ -polynomial. We extend  $\theta$  to the ring  $\mathbb{F}_q[x]$  and put  $\theta(x) = x^p$  i.e.  $\theta(g) = g^p$  for all  $g \in \mathbb{F}_q[x]$ . We thus have  $R := \mathbb{F}_q[t; \theta] \subset S := \mathbb{F}_q[x][t; \theta]$ . Considering  $f \in R := \mathbb{F}_q[t; \theta]$  as an element of  $\mathbb{F}_q[x][t; \theta]$  we can evaluate  $f$  at  $x$ . We denote the resulting polynomial by  $f^\square[x] \in \mathbb{F}_q[x]$  i.e.  $f(t)(x) = f^\square(x)$ .

The last statement of the following theorem will show that the question of the irreducibility of a polynomial  $f(t) \in R := \mathbb{F}_q[t; \theta]$  can be translated in terms of factorization in  $\mathbb{F}_q[x]$ . This makes Berlekamp algorithm available to test irreducibility of polynomials in  $R = \mathbb{F}_q[t; \theta]$ . This will also provide an algorithm for factoring polynomials in  $\mathbb{F}_q[t; \theta]$ , as explained in the paragraph following the proof of the next theorem.

**Theorem 2.5.** *Let  $f(t) = \sum_{i=0}^n a_i t^i$  be a polynomial in  $R := \mathbb{F}_q[t; \theta] \subset S := \mathbb{F}_q[x][t; \theta]$ . With the above notations we have:*

- 1) *For any  $h = h(x) \in \mathbb{F}_q[x]$ ,  $f(h) = \sum_{i=0}^n a_i h^{[i]}$ .*
- 2)  *$\{f^\square | f \in R = \mathbb{F}_q[t; \theta]\} = \mathbb{F}_q[x]^\square$ .*
- 3) *For  $i \geq 0$  and  $h(x) \in \mathbb{F}_q[x]$  we have  $T_x^i(h) = h^{p^i} x^{[i]}$ .*
- 4) *For  $g(t) \in S = \mathbb{F}_q[x][t; \theta]$  and  $h(x) \in \mathbb{F}_q[x]$  we have  $g(T_x)(h(x)) \in \mathbb{F}_q[x]h(x)$ .*
- 5) *For any  $h(t) \in R = \mathbb{F}_q[t; \theta]$ ,  $f(t) \in Rh(t)$  if and only if  $f^\square(x) \in \mathbb{F}_q[x]h^\square(x)$ .*

*Proof.* 1) We compute:  $f(t)(h) = (\sum_{i=0}^n a_i t^i)(h) = \sum_{i=0}^n a_i \theta^{i-1}(h) \cdots \theta(h)h = \sum_{i=0}^n a_i h^{[i]}$ .  
 2) This is clear from the statement 1) above for  $h = x$ .  
 3) This is easily proved by induction (notice that  $T_x^0(h) = h = h^{p^0} x^{[0]}$ ).  
 4) Let us put  $g(t) = \sum_{i=0}^n g_i(x) t^i$ . Statement 3) above then gives:  $g(T_x)(h(x)) = (\sum_{i=0}^n g_i(x) T_x^i)(h(x)) = \sum_{i=0}^n g_i(x) h^{p^i} x^{[i]} \in \mathbb{F}_q[x]h$ .  
 5) Let us write  $f(t) = g(t)h(t)$  in  $R$ . Corollary 1.13 (a) and statement 4) above give  $f^\square(x) = f(t)(x) = (g(t)h(t))(x) = g(T_x)(h(t)(x)) = g(T_x)(h^\square(x)) \in \mathbb{F}_q[x]h^\square(x)$ .  
 Conversely, suppose there exists  $g(x) \in \mathbb{F}_q[x]$  such that  $f^\square(x) = g(x)h^\square(x)$ . Let  $f(t), h(t) \in \mathbb{F}_q[t; \theta]$  be such that  $f(t)(x) = f^\square(x)$  and  $h(t)(x) = h^\square(x)$ . Using the euclidean division algorithm in  $\mathbb{F}_q[t; \theta]$  we can write  $f(t) = q(t)h(t) + r(t)$  with  $\deg r(t) < \deg h(t)$ . Evaluating both sides of this equation at  $x$  we get, thanks to the generalized product formula,  $f^\square(x) = f(t)(x) = q(T_x)(h(t)(x)) + r(t)(x) = q(T_x)(h^\square(x)) + r^\square(x)$  and  $\deg r^\square(x) = [\deg r(t)] < [\deg h(t)] = \deg h^\square(x)$ . Statement 4) above and the hypothesis then give that  $r^\square(x) = 0$ . Let us write  $r(t) = \sum_{i=0}^l r_i t^i \in \mathbb{F}_q[t; \theta]$ . With these notations we must have  $\sum_{i=0}^l r_i x^{[i]} = 0$ . This yields that for all  $i \geq 0$ ,  $r_i = 0$  and hence  $r(t) = 0$ , as required.  $\square$

Let us mention the following obvious but important corollary:

**Corollary 2.6.** *A polynomial  $f(t) \in \mathbb{F}_q[t; \theta]$  is irreducible if and only if its attached  $[p]$ -polynomial  $f^\square \in \mathbb{F}_q[x]^\square \subset \mathbb{F}_q[x]$  has no non trivial factor belonging to  $\mathbb{F}_q[x]^\square$ .*

Of course, the condition stated in the above corollary 2.6 can be checked using, for instance, the Berlekamp algorithm for factoring polynomials over finite fields. This leads easily to an algorithm for factoring  $f(t) \in \mathbb{F}_q[t; \theta]$ . Indeed given  $f(t) \in \mathbb{F}_q[t; \theta]$  we

first find a polynomial  $h^\square \in \mathbb{F}_q[x^\square]$  such that  $h^\square$  divides  $f^\square$  (if possible) and we write  $f^\square = g(x)h^\square$  for some  $g(x)$  in  $\mathbb{F}_q[x]$ . This gives  $f(t) = g'(t)h(t) \in \mathbb{F}_q[t; \theta]$ . We then apply the same procedure to  $g'(t)$  and find a right factor of  $g'(t)$  in  $\mathbb{F}_q[t; \theta]$  by first finding (if possible) a  $[p]$ -factor of  $g'^\square$ ... Let us give some concrete examples.

**Examples 2.7.** In the next three examples we will consider the field of four elements  $\mathbb{F}_4 = \{0, 1, a, 1+a\}$  where  $a^2 + a + 1 = 0$ .  $\theta(a) = a^2 = a + 1$ ;  $\theta(a+1) = (a+1)^2 = a$ .

- a) Consider the polynomial  $t^3 + a \in \mathbb{F}_4[t; \theta]$ . Its associated  $[2]$ -polynomial is given by  $x^7 + a \in \mathbb{F}_4[x]$ . Since  $a$  is a root of  $x^7 + a$  it is also a root of  $t^3 + a$ . This gives  $t^3 + a = (t^2 + at + 1)(t + a)$  in  $\mathbb{F}_4[t; \theta]$ . Now, the  $[2]$ -polynomial associated to the left factor  $t^2 + at + 1$  is  $x^3 + ax + 1 \in \mathbb{F}_4[x]$ . Since this last polynomial is actually irreducible we conclude that  $t^2 + at + 1$  is also irreducible in  $\mathbb{F}_4[t; \theta]$ . Hence the factorization of  $t^3 + a$  given above is in fact a decomposition into irreducible polynomials.
- b) Let us now consider  $f(t) = t^4 + (a+1)t^3 + a^2t^2 + (1+a)t + 1 \in \mathbb{F}_4[t; \theta]$ . Its attached  $[p]$ -polynomial is  $x^{15} + (a+1)x^7 + (a+1)x^3 + (1+a)x + 1 \in \mathbb{F}_4[x]$ . We can factor it as follows:

$$(x^{12} + ax^{10} + x^9 + (a+1)x^8 + (a+1)x^5 + (a+1)x^4 + x^3 + ax^2 + x + 1)(x^3 + ax + 1)$$

This last factor is a  $[p]$ -polynomial which corresponds to  $t^2 + at + 1 \in \mathbb{F}_4[t; \theta]$ . Moreover since  $x^3 + ax + 1$  is irreducible in  $\mathbb{F}_4[x]$ ,  $t^2 + at + 1$  is also irreducible in  $\mathbb{F}_4[t; \theta]$ . We then easily conclude that  $f(t) = (t^2 + t + 1)(t^2 + at + 1)$  is a decomposition of  $f(t)$  into irreducible factors in  $\mathbb{F}_4[t; \theta]$ .

- c) Let us consider the polynomial  $f(t) = t^5 + at^4 + (1+a)t^3 + at^2 + t + 1$ . Its attached  $[p]$ -polynomial is  $x^{31} + ax^{15} + (1+a)x^7 + ax^3 + x + 1$ . It is easy to remark that  $a$  is a root and we get  $f(t) = q_1(t)(t+a)$  in  $\mathbb{F}_4[t; \theta]$  where  $q_1(t) = t^4 + (a+1)(t^2 + t + 1)$ . The  $[p]$ -polynomial attached to  $q_1(t)$  is  $x^{15} + (a+1)(x^3 + x + 1)$ . Again we get that  $a$  is a root and we obtain that  $q_1(t) = (q_2(t))(t+a)$  in  $\mathbb{F}_4[t; \theta]$  where  $q_2(t) = t^3 + (a+1)t^2 + at + a$ . The  $[p]$ -polynomial attached to  $q_2(t)$  is  $x^7 + (a+1)x^3 + ax + a$ . Once again  $a$  is a root and we have  $q_2(t) = (t^2 + t + 1)(t+a)$ . Since  $t^2 + t + 1$  is easily seen to be irreducible in  $\mathbb{F}_4[t; \theta]$ , we have the following factorization of our original polynomial:  $f(t) = (t^2 + t + 1)(t+a)^3$ . We can also factorize  $f(t)$  as follows:  $f(t) = (t+a+1)(t+1)(t+a)(t^2 + (a+1)t + 1)$ .

**Remark 2.8.** It is a natural question to try to find a good notion of a splitting field attached to a polynomial of an Ore extension. The above results justify that, in the case of a skew polynomial ring  $\mathbb{F}_q[t; \theta]$  where  $q = p^n$  and  $\theta$  is the Frobenius automorphism, we define the splitting field of a polynomial  $f(t) \in \mathbb{F}_q[t; \theta]$  to be the splitting of the polynomial  $f^\square(x)$  over  $\mathbb{F}_q$ .

Our next application of Theorem 2.1, is an easy proof of Hilbert 90 theorem (Cf. [LL<sub>3</sub>] for more advanced results on Hilbert 90 theorem in a  $(\sigma, \delta)$  setting).

**Proposition 2.9.** *a) Let  $K$  be a division ring,  $\sigma$  an automorphism of  $K$  of finite order  $n$  such that no power of  $\sigma$  of order strictly smaller than  $n$  is inner. Then  $\Delta^\sigma(1)$  is algebraic and  $t^n - 1 \in K[t; \sigma]$  is its minimal polynomial (i.e.  $V(t^n - 1) = \Delta^\sigma(1)$ ).*

b) Let  $K$  be a division ring of characteristic  $p > 0$  and  $\delta$  a nilpotent derivation of  $K$  of order  $p^n$  satisfying no identity of smaller degree than  $p^n$ . Then  $\Delta^\delta(0)$  is algebraic and  $t^{p^n}$  is its minimal polynomial ( $V(t^{p^n}) = \Delta^\delta(0)$ ).

*Proof.* a) Since  $T_1^n = \sigma^n = id.$ , we have  $\ker(T_1^n - id.) = K$ . It is easy to check that  $(t^n - 1)(\sigma(x)x^{-1}) = 0$  for any  $x \in K \setminus \{0\}$ . We thus have  $\Delta^\sigma(1) \subseteq V(t^n - 1)$ . Standard Galois theory of division rings implies that  $[K : Fix(\sigma)]_r = n$ . Moreover  $C^\sigma(1) = Fix(\sigma)$ , part two of Theorem 2.1 than quickly yields the result.

b) This is similar to the above proof noting that  $K = \ker(\delta^{p^n}) = \ker(T_0^{p^n})$ ,  $C^\delta(0) = \ker(\delta)$  and  $[K : \ker(\delta)]_r = p^n$ .  $\square$

**Remark 2.10.** We do get back the standard Hilbert 90 theorem remarking in particular that  $\Delta^\sigma(1) = \{\sigma(x)x^{-1} \mid x \in K \setminus \{0\}\}$ .

As another application, let us now give a quick proof of a generalized version of the Frobenius formula in characteristic  $p > 0$ . The proof of this formula is usually given for a field through long computations involving additive commutators (Cf. Jacobson [Ja<sub>1</sub>], p. 190). Using polynomial maps we get a shorter proof.

**Proposition 2.11.** Let  $K$  be a ring of characteristic  $p > 0$ ,  $\delta$  be a (usual) derivation of  $K$  and  $a$  any element in  $K$ . In  $R = K[t; id., \delta]$  we have

$$(t - a)^p = t^p - T_a^p(1).$$

*Proof.* Define a derivation  $d$  on  $R$  by  $d|_K = 0$  and  $d(t) = 1$ . It is easy to check that this gives rise to a well defined derivation on  $R$ . Notice that  $d(t - a) = 1$  commutes with  $t - a$  hence  $d((t - a)^p) = 0$ . Let us write  $(t - a)^p = \sum_{i=0}^p c_i t^i$ . Applying  $d$  on both sides we quickly get that  $c_i = 0$  for all  $i = 1, \dots, p-1$ . We thus have  $(t - a)^p = t^p - c_0$ . Since  $a$  is a right root we indeed have that  $c_0 = t^p(a) = T_a^p(1)$ .  $\square$

Let us now analyze the maps arising in a division process. For typographical reasons it is convenient to write, for  $a \in A$  and  $i \geq 0$ ,  $N_i(a) := T_a^i(1)$ . Properties of these maps can be found in previous works (e.g. [LL<sub>1</sub>], [LL<sub>2</sub>]). Here we will look at the quotients and get some formulas generalizing elementary ones. It doesn't seem that these maps have been introduced earlier in this setting.

**Proposition 2.12.** Let  $A, \sigma, \delta$  be a ring, an endomorphism and a  $\sigma$ -derivation of  $A$ , respectively. For  $a \in A$  and  $i \geq 0$ , let us write  $t^i = q_{i,a}(t)(t - a) + N_i(a)$  in  $R = A[t; \sigma, \delta]$ . We have:

- 1) If  $f(t) = \sum_{i=0}^n a_i t^i \in R$ , then  $f(t) = \sum_{i=0}^n a_i q_{i,a}(t)(t - a) + \sum_{i=0}^n a_i N_i(a)$ .
- 2)  $q_{0,a} = 0$ ,  $q_{1,a} = 1$  and, for  $i \geq 1$ ,  $q_{i+1,a}(t) = tq_{i,a}(t) + \sigma(N_i(a))$ .
- 3)  $N_i(b) - N_i(a) = q_{i,a}(T_b)(b - a) = q_{i,b}(T_a)(a - b)$ .

*Proof.* The elementary proofs are left to the reader.  $\square$

**Remark 2.13.** Even the case when  $\sigma = id.$  and  $\delta = 0$  is somewhat interesting. In this case the polynomials  $q_{i,a}$  can be expressed easily:  $q_{i,a}(t) = t^{i-1} + at^{i-1} + \dots + a^{i-1}$ . Of course, we also get some familiar formulas. For instance the last equation in 2.12 above gives the classical equality in a noncommutative ring  $A$ :  $b^i - a^i = (b - a)b^{i-1} + a(b - a)b^{i-2} + \dots + a^{i-1}(b - a)$ .

We now present the last application which is related to the case when the base ring is left duo.

**Proposition 2.14.** *Let  $A, \sigma, \delta$  be respectively, a ring, an endomorphism of  $A$  and a  $\sigma$ -derivation of  $A$ . The following are equivalent:*

- (i) *For  $a, b \in A$ , there exist  $c, d \in A$  such that  $(t - c)(t - a) = (t - d)(t - b)$  in  $R = A[t; \sigma, \delta]$ ;*
- (ii) *For any  $a, b \in A$ , there exists  $c \in A$  such that  $T_b(a) = ca = L_c(a)$ ;*
- (iii) *For any  $a, b \in A$ , there exists  $c \in A$  such that  $\sigma(a)b + \delta(a) = ca$ .*

*In particular, when  $\sigma = id.$  and  $\delta = 0$ , the above conditions are also equivalent to the ring  $A$  being left duo.*

*Proof.* (i)  $\Rightarrow$  (ii). Clearly (i) implies that  $b$  is a (right) root of  $(t - c)(t - a)$ . Hence for every  $a, b \in A$  there exists  $c \in A$  such that  $(T_b - c)(b - a) = 0$ . Since  $a, b$  are any elements of  $A$  this implies (ii).

(ii)  $\Rightarrow$  (iii). This comes from the definition of  $T_b$ .

(iii)  $\Rightarrow$  (i). Let  $a, b \in A$ . Writing the condition (iii) for the elements  $b - a$  and  $b$  we find an element  $c \in A$  such that  $\sigma(b - a)b + \delta(b - a) = c(b - a)$ . We then check that  $((t - c)(t - a))(b) = 0$ . This shows that  $(t - c)(t - a)$  is right divisible by  $t - b$  and this proves statement (i).

The additional statement is clear from (iii) indeed in this case (iii) means that for any  $a, b \in A$ ,  $ab \in Aa$ . Or in other words, that any left principal ideal  $Aa$  is in fact a two sided ideal.  $\square$

The last statement of the previous proposition 2.14 justifies the following definition:

**Definition 2.15.** A ring  $A$  is left  $(\sigma, \delta)$ -duo if for any  $a, b \in A$ , there exists  $c \in A$  such that  $T_b(a) = ca$ .

Proposition 2.14 was already given in the last section of [DL]. Here we stress the use of  $T_a$ . In fact the pseudo-linear map  $T_a$  enables us to show that in an Ore extension built on a left  $(\sigma, \delta)$ -duo ring, the least left common multiple exists for any two monic polynomials as long as one of them can be factorized linearly. We state this more precisely in the following theorem. This theorem was also proved by M. Christofeuil with a different, more computational, proof [C].

**Theorem 2.16.** *Let  $a_1, \dots, a_n$  be elements in a left  $(\sigma, \delta)$ -duo ring  $A$ . Then for any monic polynomial  $g(t) \in R = A[t; \sigma, \delta]$  there exists a monic least left common multiple of  $g(t)$  and of  $(t - a_n) \cdots (t - a_1)$  of degree  $\leq n + \deg(g)$ .*



*Proof.* We proceed by induction on  $n$ . If  $n = 1$  the fact that  $A$  is  $(\sigma, \delta)$ -left duo implies that there exists  $c \in A$  such that  $T_{a_1}(g(a_1)) = cg(a_1)$  and this shows that the polynomial  $(t - c)g(t)$  is divisible on the right by  $t - a_1$ , as desired.

Assume  $n > 1$ . By the above paragraph, there exist a monic polynomial  $g_1(t) \in R$  and an element  $c \in A$  such that  $g_1(t)(t - a_1) = (t - c)g(t)$ . On the other hand, the induction hypothesis shows that there exist monic polynomials  $h(t), p(t) \in R$  such that  $h(t)(t - a_n) \cdots (t - a_2) = p(t)g_1(t)$  where  $\deg(h) + n - 1 \leq \deg(g_1) + n - 1 = \deg(g) + n - 1$ . This implies that  $h(t)(t - a_n) \cdots (t - a_2)(t - a_1) = p(t)g_1(t)(t - a_1) = p(t)(t - c)g(t)$ . This shows that  $g(t)$  and  $(t - a_1)(t - a_2) \cdots (t - a_n)$  have a monic common multiple of degree  $\leq \deg(g) + n$ , as desired.  $\square$

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